

## 济源市万洋冶炼（集团）有限公司 供应链尽责管理申诉机制

为了及时识别和处理公司供应链可能存在的助长冲突或人权侵害等风险，保障内外部利益相关方与本公司的顺畅沟通，济源市万洋冶炼（集团）有限公司（以下简称“公司”）根据公司《供应链尽责管理政策》制定本申诉机制。

### 1. 可以对哪些问题提起申诉？

符合以下条件的申诉将会被受理：

- 1) 与公司供应链尽责管理相关。
- 2) 公司商业活动或者业务关系对利益相关方已经造成或可能造成了负面影响。
- 3) 公司商业活动或者业务关系违反了《经济合作与发展组织关于来自受冲突影响和高风险区域的矿石的负责任供应链尽职调查指南》、《中国矿产供应链尽责管理指南》或公司《铅供应链尽责管理政策》中的要求。

属于以下任意一项的申诉不会被受理：

- 1) 与公司尽责管理无关。
- 2) 无法提供充分证据或证人以支持指出的问题。
- 3) 恶意申诉或为获得竞争优势而发起的申诉。
- 4) 如果申诉的问题不属于公司内部机制所能够解决的范畴，我们将积极协调外部机构解决。

### 2. 谁可以提起申诉？

任何个人或团体，包括但不限于公司客户、供应商、受影响的社区居民、员工等，均可向公司供应链尽责管理工作组提起申诉。

如果申诉由代表受影响方的第三方团体或个人提出，该机构或个人应明确说明其所代表的对象，并提供具备代表权的明确证据。

### 3. 如何提起申诉？

申诉者应填写附件一所示《供应链尽责管理申诉书》，语种不限。申诉书应通过电子邮件或邮寄至公司供应链尽责管理工作组。

邮箱：hudandan56@163.com

地址：济源市万洋冶炼（集团）有限公司新办公楼 211

同时，本公司认可并参与中国五矿化工进出口商会发布的行业调解和磋商机制 ---《采矿业和矿产价值链调解磋商机制》，详见中国五矿化工进出口商会网站（[中国五矿化工进出口商会 \(cccmc.org.cn\)](http://www.cccmc.org.cn)）。

附件--《供应链尽责管理申诉书》



附件:

## 供应链尽责管理申诉书

申诉者名称 (可匿名)		是否要求保密	
联系电话		电子邮箱	
是否为受委托的第三方		如是, 受何人或何团体委托(同时附受委托证明)	
冲突回避	(如需要回避公司供应链尽责管理委员会、尽责管理工作组的特定人员, 请列出其姓名)		
所遭受 (或可能遭受) 负面影响的事实和证据, 以及其他任何能够支持申诉的信息 (可附页, 并附申诉证据):			
您的诉求, 以及实现诉求所希望采用的解决方案 (明确且可操作的诉求能够帮助我们更快的帮您解决问题)			
申诉者代表签名:			
申诉日期:			

# **Jiyuan Wanyang Smelting (Group) Co., Ltd.**

## **Supply Chain Due Diligence Grievance Mechanism**

To promptly identify and address potential risks such as contributing to conflicts or human rights abuses in the company's supply chain, and to ensure smooth communication between internal and external stakeholders and the company, Jiyuan Wanyang Smelting (Group) Co., Ltd. (hereinafter referred to as the "Company") formulates this Grievance Mechanism in accordance with the Company's Supply Chain Due Diligence Policy.

### **1. What Issues Can Be Grieved?**

A grievance will be accepted if it meets the following conditions:

1. Related to the Company's supply chain due diligence.
2. The Company's business activities or business relationships have caused or may cause negative impacts on stakeholders.
3. The Company's business activities or business relationships violate the requirements of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, the China Mineral Supply Chain Due Diligence Guidelines, or the Company's Lead Supply Chain Due Diligence Policy.

A grievance will NOT be accepted if it falls into any of the following categories:

1. Unrelated to the Company's due diligence.
2. Insufficient evidence or witnesses to support the alleged issue.
3. Malicious grievances or those initiated to gain competitive advantages.
4. If the grievanced issue is beyond the scope of the Company's internal mechanism to resolve, we will actively coordinate with external institutions for resolution.

### **2. Who Can File a Grievance?**

Any individual or group, including but not limited to the Company's customers, suppliers, affected community residents, and employees, may file a grievance with the Company's Supply Chain Due Diligence Working Group.

If a grievance is filed by a third-party organization or individual on behalf of the affected party, the organization or individual must clearly state the party they represent and provide explicit evidence of their representation authority.

### 3. How to File a Grievance?

The grievant shall fill out the Supply Chain Due Diligence Grievance Form as shown in Annex 1, in any language. The form shall be submitted to the Company's Supply Chain Due Diligence Working Group via email or mail.

- Email: [hudandan56@163.com](mailto:hudandan56@163.com)

- Address: Room 211, New Office Building, Jiyuan Wanyang Smelting (Group) Co., Ltd.

Meanwhile, the Company recognizes and participates in the industry mediation and consultation mechanism issued by the China Chamber of Commerce for Import and Export of Minerals, Metals and Chemicals (CCCMC) — the Extractive Industry and Mineral Value Chain Mediation and Consultation Mechanism, for details, please visit the official website of CCCMC (<http://www.cccmc.org.cn>).



Annex:

## Supply Chain Due Diligence Grievance Form

Grievant's Name (Anonymous Allowed)		Confidentiality Requested?
Contact Phone Number		Email Address
Is the Grievant an Authorized Third Party?		If Yes, Entrusted by Whom or Which Group (Attach Authorization Proof)
Conflict of Interest Avoidance	(If you need to avoid specific personnel of the Company's Supply Chain Due Diligence Committee or Due Diligence Working Group, please list their names)	
Facts and Evidence of the Negative Impact Sustained (or Likely to Be Sustained), and Any Other Information Supporting the Grievance (Additional pages and evidence may be attached):		
Your Claims and Proposed Solutions to Achieve the Claims (Clear and operable claims will help us resolve the issue more efficiently):		
Grievant's Representative Signature: Date of Grievance:		